

TRANSMITTAL FORM FOR FILING PATENT APPLICATION

Attorney

Docket No.: AK-334XX

WEINGARTEN, SCHURGIN, GAGNEBIN & HAYES LLP

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Express Mail No: EL 418428674 US

Date: October 26, 2000

First Named Inventor or Application

Identifier: Kenji Ikeda

BOX PATENT APPLICATION

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

Transmitted herewith under 37 CFR § 1.53 for filing is the patent application of:

Inventor: Kenji Ikeda and Takashi Shimogata

Entitled: METHOD FOR STRETCH BLOW MOLDING WIDE-MOUTHED CONTAINER

[] This is a request for filing a [] **continuation** [] **divisional** [] **continuation in-part** application under \$1.53(b) of prior Application No. _____, filed _____ entitled:

Enclosed are:

[X] 13 pages of written description, claims and Abstract, inclusive

[X] 2 sheets of [] informal [X] formal drawings of Figs. 1-4 (one set)

[X] Oath or Declaration

[X] Newly executed (original or copy)

[] Copy from prior application (37 CFR 1.63(d)) (for continuation/divisional).

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

[] To be filed later

[X] Cover sheet and Assignment of the invention to: A.K. TECHNICAL LABORATORY, INC.

[X] Certified copy of a Japanese application (if foreign priority is claimed) with letter claiming priority under Rule 55.

[] Information Disclosure Statement with ___ citations

[] Preliminary amendment is enclosed.

[X] Return receipt postcard

[] Other:

Attorney Docket No.: AK-334XX

TRANSMITTAL FORM FOR FILING PATENT APPLICATION (CONTINUED)

- [X] Verified statement of Small Entity status (\$1.9 and \$1.27)
- [] Verified statement of Small Entity was filed in prior application. Status still proper and desired
- [] Priority is claimed under 35 USC § 120 as indicated on the attached sheet 4.
- [X] Priority is claimed under 35 USC §119(a)-(d) as indicated on the attached sheet 4.
- [] Priority is claimed under 35 USC §119 (e) as indicated on the attached sheet 4.
- [] _____ is hereby appointed Associate Attorney by:
Registration No.:

Attorney of Record

Registration No.:

[] **Power of Attorney** in the originally-filed application has been granted to one or more of the registered attorneys listed below. The attorneys listed below not previously granted power in the originally-filed application, as well as _____, are hereby given associate power:

Registration No.:

Stanley M. Schurgin, Reg. No. 20,979
Charles L. Gagnebin III, Reg. No. 25,467
Paul J. Hayes, Reg. No. 28,307
Victor B. Lebovici, Reg. No. 30,864

Eugene A. Feher, Reg. No. 33,171
Beverly E. Hjorth, Reg. No. 32,033
Holliday C. Heine, Reg. No. 34,346
Gordon R. Moriarty, Reg. No. 38,973

- [] Cancel in this application original claims _____ of the prior application before calculating the filing fee.
- [] Add in this application claims _____ per amendment before calculating fee.

CLAIMS FILED:	MINUS BASE:	EXTRA CLAIMS:	RATE:	BASIC FEE:
				\$710.00
Independent	1 - 3	= 0	x \$80.00 =	0
Total	2 - 20	= 0	x \$18.00 =	0
[] Multiple Dependent Claims (1st presentation)			+ \$270.00 =	0
SUBTOTAL FILING FEE				\$710.00
Small Entity filing, divide by 2. (Note: verified statement must be attached per \$1.9, \$1.27, \$1.28.)				\$355.00
TOTAL Filing Fee				\$355.00

TRANSMITTAL FOR FILING PATENT APPLICATION (CONTINUED)

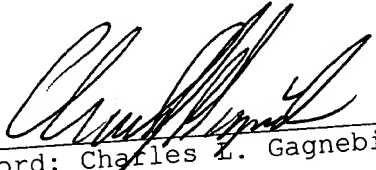
jc841 U.S. PTO
09/697418
10/26/00

- [X] The filing fee has been calculated above; a check in the amount of \$355.00 is enclosed.
- [] The filing fee will be submitted at a later date.
- [X] In the event a Petition for Extension of Time under 37 CFR §1.17 is required by this paper and not otherwise provided, such Petition is hereby made and authorization is provided herewith to charge Deposit Account No. 23-0804 for the cost of such extension.
- [X] The Commissioner is hereby authorized to charge payment of any additional filing fees under 37 CFR §1.16 associated with this communication or credit any overpayment to Deposit Account No. 23-0804.

[X] Customer Number 207

Address all future communications to:

WEINGARTEN, SCHURGIN, GAGNEBIN & HAYES LLP
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Telephone: (617) 542-2290
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Attorney of Record: Charles L. Gagnebin III
Registration No. 25,467

Attorney Docket No.: AK-334XX

TRANSMITTAL FOR FILING PATENT APPLICATION (CONTINUED)

☐ Priority is claimed under 35 USC § 120 of prior Application(s)
No. _____, filed _____, entitled:

☐ The above-identified application(s) is/are assigned of record to:

☒ Priority is claimed under 35 USC § 119 (a)-(d) of the following application(s).

<u>11-310021</u>	<u>Japan</u>	<u>October 29, 1999</u>
(Application Number)	(Country)	(Filing Date)
<u> </u>	<u> </u>	<u> </u>
(Application Number)	(Country)	(Filing Date)
<u> </u>	<u> </u>	<u> </u>
(Application Number)	(Country)	(Filing Date)

☐ The above-identified application(s) is/are assigned of record to:

☐ Priority is claimed under 35 USC § 119 (e) of the following provisional application(s).

<u> </u>	<u> </u>
(Application Number)	(Filing Date)
<u> </u>	<u> </u>
(Application Number)	(Filing Date)
<u> </u>	<u> </u>
(Application Number)	(Filing Date)

☐ The above-identified provisional application(s) is/are assigned of record to:

☐ The claim of small entity status in the above-identified provisional application(s) is made in this application and a copy of the small entity form(s) from the provisional application(s) is/are enclosed.

SUBMIT IN TRIPLICATE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Kenji Ikeda, et al.

ATTORNEY

DOCKET NO.: AK- 334XX

APPLICATION NO.:

EXAMINER:

FILED: HERewith

GROUP NO.:

PATENT NO.:

ISSUED:

ENTITLED: METHOD FOR STRETCH BLOW MOLDING WIDE-MOUTHED CONTAINER

VERIFIED STATEMENT AS SMALL ENTITY

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

THE UNDERSIGNED DECLARE(S) :

Exclusive rights in the above-identified invention reside in the "small entity(ies)" defined and named below or in a Verified Statement as Small Entity filed by other such small entity(ies), and "small entity" fees are appropriate. Qualification as a small entity is based upon the appropriately checked statements below:

[] INDEPENDENT INVENTOR(S)

The below-signing independent inventor(s) has (have) not assigned, granted, conveyed or licensed, and is (are) under no obligation under contract or law to assign, grant, convey or license any rights in the invention to any person who could not likewise be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

[X] SMALL BUSINESS CONCERN

The below-identified small business concern qualifies as a small business as defined in 13 CFR 121.1301 through 121.1305, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, in that the number of employees, including those of its affiliates, which does not exceed 500 persons, and it has not assigned, granted, conveyed or licensed, and is under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

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Concerns are affiliates of each other when, either directly or indirectly, one concern controls or has the power to control the other, or a third party controls or has the power to control ooth. The number of employees of the business concern is the average over the fiscal year of the persons employed during each of the pay periods of the fiscal year. Employees are those persons employed on a full-time, part-time or temporary basis during the previous fiscal year of the concern.

☐ **NONPROFIT ORGANIZATION** (Check additional applicable box.)

The below-identified nonprofit organization qualifies as a small entity under 37 CFR 1.9(e) in that it constitutes:

1. ☐ a university or other institution of higher education located in any country; or
2. ☐ an organization of the type described in Section 501(c)(3) of the Internal Revenue Code of 1954 (26 USC 501(c)(3)) and exempt from taxation under Section 501(a) of the Internal Revenue Code (26 USC 501(a)); or
3. ☐ any nonprofit scientific or educational organization qualified under a nonprofit organization statute of a state of the United States (35 USC 201(i)); or
4. ☐ any nonprofit organization located in a foreign country which would qualify as a nonprofit organization under paragraphs (e)(2) or (3) of Rule 1.9 if it were located in the United States.

The undersigned acknowledge(s) the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

The below-signing individual(s) hereby declare(s) that (he, she, they) are authorized to execute this statement on behalf of the small entity.

Name of Small Entity: (Independent Inventor/Small Business/Nonprofit)
A.K. Technical Laboratory, Inc.

Address of Small Entity: (Street, City, State or Country, Zip Code)
4963-3, Ohazaminamijo, Sakaki-machi, Hanishina-gun, Nagano-ken, Japan

Name of Person Signing: (Small Business/Nonprofit)

Title of Person Signing: (Small Business/Nonprofit)

Signature: (Please sign and date in permanent ink.)

X

Minoru Ikeda

Date signed:

X August 8, 2000